

## Pharmacogenetics of Psychotropic Drug Response

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**Objective:** Molecular genetic approaches provide a novel method of dissecting the heterogeneity of psychotropic drug response. These pharmacogenetic strategies offer the prospect of identifying biological predictors of psychotropic drug response and could provide the means of determining the molecular substrates of drug efficacy and drug-induced adverse events.

**Method:** The authors discuss methods issues in executing pharmacogenetic studies, review the first generation of pharmacogenetic studies of psychotropic drug response, and consider future directions for this rapidly evolving field.

**Results:** Pharmacogenetics has been most commonly used in studies of anti-psychotic drug efficacy, antidepressant drug response, and drug-induced adverse effects. Data from antipsychotic drug studies indicate that polymorphisms within the serotonin 2A and dopamine receptor 2 genes may influence drug efficacy in schizophrenia. Moreover, a growing body of data suggests a relationship

between the serotonin transporter gene and clinical effects of the selective serotonin reuptake inhibitors used to treat depression. A significant relationship between genetic variation in the cytochrome P450 system and drug-induced adverse effects may exist for certain medications. Finally, a number of independent studies point to a significant effect of a dopamine D<sub>3</sub> receptor polymorphism on susceptibility to tardive dyskinesia.

**Conclusions:** Initial research into the pharmacogenetics of psychotropic drug response suggests that specific genes may influence phenotypes associated with psychotropic drug administration. These results remain preliminary and will require further replication and validation. New developments in molecular biology, human genomic information, statistical methods, and bioinformatics are ongoing and could pave the way for the next generation of pharmacogenetic studies in psychiatry.

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The variation in individual clinical response to psychotropic drug treatment remains a critical problem in the management of the seriously mentally ill patient. Although a minority of patients may experience complete symptom remission, a large proportion of patients continues to experience significant psychiatric symptoms (1), and in addition there is a subset of patients who develop drug-induced adverse events that may range from the troublesome to the life threatening (2). Moreover, psychotropic drug efficacy may not occur until weeks after initiation of drug treatment (3), and thus, the time period before a clinician can determine whether a specific treatment is ineffective and consider alternative pharmacotherapy can be lengthy. During this period, treated patients may experience continuous psychiatric symptoms, employment loss, social dysfunction, medical morbidity, and—in a significant proportion of patients with psychosis and affective disorders—even commit suicide (4).

Early efforts to identify the predictors of psychotropic drug response focused on clinical variables with limited success. More recent efforts with biological variables such as plasma and CSF levels of neurotransmitter me-

tabolites, neurohormone levels, and brain imaging measures have provided some initially promising results (5–9), but consistent data have remained elusive. A major drawback of these approaches is the considerable variation in the biological variable being used as the independent measure, which reduces the power of these measures to predict or correlate with measures of treatment response.

Molecular genetic approaches provide a novel method of dissecting the heterogeneity of psychotropic drug response. This field of inquiry, traditionally termed “pharmacogenetics,” provides a number of distinct advantages in the search for informative correlates of psychotropic drug response (Appendix 1). First, an individual subject’s genotype is essentially invariable, and thus, collection of the independent measure for analysis versus treatment response can be performed at any time during treatment (or thereafter) and remain unaffected by the treatment itself (10). Second, current molecular biological techniques provide an accurate assessment of an individual’s genotype (11), and measurement error plays little or no role in these analyses. Third, the dramatic increase in the amount of publicly available genomic information (12) now provides

the necessary data to conduct comprehensive studies of individual genes and, perhaps, investigations of entire genomes. Finally, the ease of accessibility to genotype information by means of peripheral blood samples, coupled with advances in molecular techniques, has increased the feasibility of routine DNA collection and genotyping in large-scale clinical trials samples (13). Therefore, pharmacogenetic approaches provide a new opportunity to identify biological predictors of psychotropic drug response, but perhaps more important, they may provide the means to determine the actual molecular substrates of psychotropic drug efficacy. In this article, we will discuss basic methods issues in executing pharmacogenetic studies, review the first generation of pharmacogenetic studies of psychotropic drug response, and consider future directions for this rapidly evolving field.

## Methods Issues

### *Phenotype Definition*

A critical issue for genetic studies is the reliability and validity of the phenotype under investigation. Disease susceptibility studies use structured diagnostic interviews and family informants, when available, but diagnostic heterogeneity remains a major potential confounder for these studies. In psychiatric pharmacogenetics, the most common phenotype has been short-term drug response, in which efficacy is assessed by changes in standardized rating scales such as the Brief Psychiatric Rating Scale (BPRS) or the Hamilton Depression Rating Scale over periods that range from 3 weeks up to a few months. Little data has been collected on remission rates or from longer-term relapse prevention studies.

Few pharmacogenetic studies include placebo arms in their design. In part, this is because of the ethical considerations in conducting placebo-controlled studies in disorders for which effective treatments are known to exist, but economic considerations may also play a role. This may be a particular problem in antidepressant studies that use clinical response as a phenotype since the placebo response rate in many of these studies often approaches 30%–40% compared to an active treatment response rate of 70% (14). These placebo response rates suggest that half of the patients characterized as “active” drug responders may be placebo responders, and thus, these subjects could represent false positive observations in a pharmacogenetic analysis. Placebo “run-ins” may diminish the frequency of this but are difficult to accomplish in the large-scale studies that are needed to provide adequate power for pharmacogenetics. New study designs may need to be developed to better address this phenotypic issue.

Similarly, the phenotype of drug-induced side effects may be complex. For example, patients may be taking multiple medications or have comorbid illnesses that predispose them to the development of an adverse event.

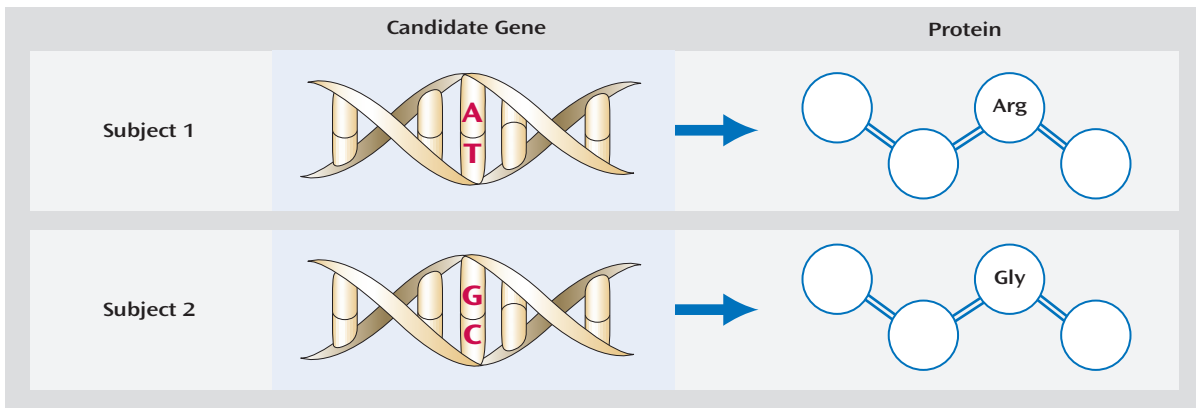
Pharmacogenetic studies of antipsychotic drug-induced weight gain are often conducted in patient populations with chronic schizophrenia in which previous antipsychotic drug treatment may have resulted in weight gain (15). This may complicate the analysis of subsequent weight gain when they take a new antipsychotic. Likewise, in some cases, the underlying illness may contribute to the development of an adverse event, and thus, the drug may not be specifically responsible for the observed event. These issues require careful consideration and should be critically evaluated during consideration of the design of pharmacogenetic studies, particularly those conducted in chronically ill patient groups.

### *Heritability of Psychotropic Drug Response*

Gene discovery studies may be informed by the assessment of the contribution of genetic factors, or heritability estimates, to the trait of interest. Although family, twin, and epidemiological studies suggest that the major psychiatric disorders have significant genetic components (16), there is a paucity of heritability data regarding psychotropic drug response. Heritability studies traditionally involve ascertainment of twin pairs that are discordant for zygosity or adoption studies of siblings separated at birth (17), but these approaches are better suited to the study of traditional illness classifications rather than drug response. Moreover, pedigree studies of several generations cannot provide informative data because of the continual development of new psychotropic drugs and the subsequent alteration in prescription patterns.

The majority of heritability data on psychotropic drugs is from studies of antidepressant drug response. Angst (18) examined 41 first-degree relative pairs that were both treated with the tricyclic antidepressant imipramine and reported that 38 pairs were concordant for response. Pare et al. (19) studied first-degree relatives of 170 depressed patients who had participated in antidepressant drug clinical trials and assessed concordance rates in the relative pairs that underwent similar treatment. Of the 12 cases of concordant treatments, both members of each relative pair had equivalent responses, with an overall rate of response of 42%. A follow-up study of a new cohort (20) found that 10 of 12 patients with concordant antidepressant treatment were concordant for clinical response. These data are consistent with a retrospective analysis of two generations of a family with multiple ill relatives with major depression in which all four family members who underwent treatment with the monoamine oxidase inhibitor tranylcypromine responded, despite nonresponse to conventional treatment (21). Finally, Franchini et al. (22) studied 45 first-degree relative pairs who were treated with the serotonin reuptake inhibitor fluvoxamine for at least 6 weeks. A total of 67% of the relative pairs were concordant for response, compared to the 50% that would be expected by chance. These studies suggest that genetic factors may play a role

**FIGURE 1. Testing of a Single Nucleotide Polymorphism (SNP) in the Coding Region of a Gene, Resulting in an Alteration of the Amino Acid Sequence of the Corresponding Protein<sup>a</sup>**



<sup>a</sup> In the figure, two individuals' DNA sequences differ at one locus (an adenine-to-guanine SNP), with resultant amino acid substitution in the protein: arginine (Arg) versus glycine (Gly). Because these two subjects' protein structures are distinct, this could result in phenotypic differences between the subjects, such as variation in response to medication.

in antidepressant drug response; however, it is possible that shared environmental factors may bias relative pairs toward similar response patterns. Studies designed to specifically assess the heritability of antidepressant drug response may still be required.

There is less data on the heritability of antipsychotic drug response. One line of evidence that suggests that genetic factors play a role is derived from studies of treatment response across different ethnic groups. A recent study incorporating data from randomized clinical trials found that black patients displayed greater acute response (in 6 weeks) to treatment with atypical and typical antipsychotic agents than white patients of European descent (23). In this study, however, there were significant differences in baseline symptoms between groups and no control for potential differences in nutritional status and body mass. Moreover, underlying cultural bias in the treatment and assessment of disparate ethnic groups may play a role in apparent treatment response variation (24). Other data on the heritability of antipsychotic drug response is limited to a study of 28 schizophrenia sibling pairs that found no more concordance for the response to typical antipsychotic agents than that predicted by chance (25) and case reports (26, 27) of monozygotic twin pairs with schizophrenia who were concordant for atypical antipsychotic response despite prior nonresponse to typical antipsychotic agents.

## Pharmacogenetics of Antipsychotic Drugs

In psychiatry, pharmacogenetic studies have focused on three major phenotypes: clinical efficacy of antipsychotic drugs, efficacy of antidepressant medications, and development of adverse effects associated with psychotropic drug treatment.

Pharmacogenetic studies of antipsychotic drug response have focused on the atypical antipsychotic drug clozapine, perhaps because of the ease of access to blood samples from clozapine-treated patients from which to extract DNA or because clozapine's superior efficacy in treatment-resistant populations (28) suggests that an understanding of the genetic contributions to its effects could provide novel data on the molecular basis of antipsychotic efficacy. The initial clozapine studies were conducted either in the context of clinical trials of clozapine (29, 30) or were based upon retrospective analyses of clozapine-treated patients (31–34) in ethnically heterogeneous study groups derived from Western countries, including the United Kingdom, Germany, and the United States.

Pharmacogenetic studies of clozapine have primarily used a candidate gene approach (Figure 1), with genetic loci in the dopamine and serotonin receptor systems—obvious candidates because of the high affinity of clozapine for these receptor subtypes. Arranz and colleagues (31) initially attracted interest in the serotonin 5-HT<sub>2A</sub> T102C polymorphism with a report of a significant ( $p=0.02$ ) association between the 102C allele and failure to respond to clozapine in a cohort of 149 patients with chronic schizophrenia who were retrospectively assessed with the Global Assessment Scale. These data were not replicated in a series of smaller clozapine studies from independent laboratories (29, 35–37), as well as in a study that included typical antipsychotic agents (38) (Table 1). 5-HT<sub>2A</sub> T102C could be considered a relatively weak candidate polymorphism because it does not result in an amino acid substitution at the protein level and there is little evidence that it produces significant functional effects on 5-HT<sub>2A</sub> receptor function (40). A less common polymorphism within the 5-HT<sub>2A</sub> gene, His452Tyr, which was not found to be in significant linkage disequilibrium (nonrandom population association) with T102C (29),

**TABLE 1. Studies of the Association Between the Serotonin 5-HT<sub>2A</sub> T102C Polymorphism and Response to Antipsychotic Drugs**

Study	Year	Subjects	Medication	Design	Outcome Measures	Result
Arranz et al. (31)	1995	149 west European patients with schizophrenia	Clozapine	Retrospective assessment of 12 weeks of treatment	Global Assessment Scale	C102/C102 genotype more frequent in nonresponders (53%) than responders (26%)
Masellis et al. (40)	1995	126 U.S. patients with schizophrenia	Clozapine	6-month trial after washout	Brief Psychiatric Rating Scale (BPRS)	No association
Nothen et al. (35)	1995	146 German patients with schizophrenia	Clozapine	Retrospective assessment after at least 28 days of treatment	Categorical assessment of response	No association
Malhotra et al. (29)	1996	70 U.S. patients with schizophrenia or schizoaffective disorder	Clozapine	10-week trial	BPRS	No association
Masellis et al. (37)	1998	185 U.S. patients with schizophrenia	Clozapine	6-month trial after washout	BPRS at 6 months plus Clinical Global Impression scale	No association
Lin et al. (36)	1999	97 Chinese patients with schizophrenia	Clozapine	8 weeks of treatment	BPRS	No association
Lane et al. (39)	2002	100 Chinese patients with schizophrenia	Risperidone	6 weeks of treatment after washout	Positive and Negative Syndrome Scale	C102/C102 genotype associated with better response

does appear to produce functional effects *in vitro*; however, it has not consistently been found to be associated with clozapine response (29, 35).

Nevertheless, more recent data suggest that the positive association between 5-HT<sub>2A</sub> T102C and clozapine response may not have been a false positive result. First, this variant is in strong linkage disequilibrium with a putatively functional polymorphism, -1438 G/A, in the promoter region of the gene (41). Second, a meta-analysis of clozapine pharmacogenetic studies of 5-HT<sub>2A</sub> T102C revealed an excess of the 102C allele in clozapine nonresponders in each data set, with a significant effect of this variant on clozapine response in the combined sample (42). Since the majority of studies included in this meta-analysis were published as "negative" studies, publication bias is unlikely to have confounded these results, and the smaller studies may have provided insufficient power to detect the modest effects of this gene on a complex clinical phenotype such as clozapine response. Finally, a recent study of 5-HT<sub>2A</sub> T102C and antipsychotic response to the atypical agent risperidone in 100 Han Chinese schizophrenia patients (39) also identified an association, but in this instance, the association was in the opposite direction than previously observed in primarily Caucasian study groups. Definitive studies with larger group sizes, prospective clinical data, and comprehensive examination of the gene will be needed to further address the role of this gene in antipsychotic drug response.

Other serotonin-related genes that have been examined in pharmacogenetic studies of clozapine include the 5-HT<sub>2C</sub> (30, 32, 37, 43), the 5-HT<sub>6</sub> (44, 45), the 5-HT<sub>7</sub> (45), as well as the serotonin transporter (*SLC6A4*) gene (46, 47). Although there have been some positive reports of association, there is little current evidence to suggest that

variation within these genes significantly influences clozapine's efficacy.

In addition to significant affinities for serotonin receptor subtypes, clozapine is also a dopamine receptor antagonist. Initial pharmacogenetic studies focused on the relationship between the dopamine D<sub>4</sub> receptor gene (*DRD4*) and clozapine response (48, 49). *DRD4* was an attractive candidate gene because of clozapine's affinity for the D<sub>4</sub> receptor (50) and the identification of a common variable number of tandem repeat polymorphisms within the putative third cytoplasmic loop of the receptor, associated with significant effects on the binding affinity of the receptor for clozapine (51). Most groups, however, have been unable to detect a significant association between this variant and clozapine response (48, 49, 52, 53). Ozdemir and colleagues (54) have reported an association between a repeat polymorphism within the first intron of *DRD4* in a preliminary study of 50 patients; however, correction for multiple testing could alter the significance of these results. Clinical trials demonstrating that dopamine D<sub>4</sub> receptor antagonists are ineffective in the treatment of schizophrenia (55) have also diminished enthusiasm for this receptor in antipsychotic drug efficacy.

Another obvious candidate for pharmacogenetic studies of antipsychotic drug response is the D<sub>2</sub> receptor gene (*DRD2*). To date, all known antipsychotic drugs have potent affinities for the D<sub>2</sub> receptor (56, 57), and functional brain imaging studies have suggested that D<sub>2</sub> receptor binding by antipsychotic agents may be "necessary and sufficient" for antipsychotic efficacy (58). However, there are few common polymorphisms within the coding regions of *DRD2* (59), and thus, fewer studies of *DRD2* and antipsychotic drug response have been conducted, compared with the 5-HT system.

Two preliminary studies have included evidence that genetic variation within *DRD2* is associated with antipsychotic response (60, 61). Moreover, Schäfer and colleagues (62) examined the relationship between haloperidol, an antipsychotic drug with greater  $D_2$  affinity than clozapine, and the *DRD2* Taq1A polymorphism and found that subjects with the A2/A2 genotype displayed poorer clinical response than heterozygous patients (no A1/A1 homozygotes were obtained). The distinction between genotypic groups was evident after 2 weeks of treatment, with 63% of the heterozygous subjects meeting response criteria versus 29% of the subjects with the A2/A2 genotype. Consistent with these results, recent preliminary data have suggested a relationship between the Taq1 A2/A2 genotype and failure to respond to risperidone (63), another agent with greater  $D_2$  affinity than clozapine. Finally, Suzuki and colleagues (64) report preliminary evidence for a relationship between *DRD2* and response to the typical antipsychotic agents bromperidol and nemonapride. Therefore, many, although not all (65), studies suggest that variation within *DRD2* may significantly influence response to antipsychotic drugs, particularly with agents with higher affinities for the  $D_2$  receptor. It should be noted, however, that these studies used two different polymorphisms—the Taq1A variant and the promoter region variant -141C Ins/Del—that are located more than 250 kilobases (kb) apart from each other. Therefore, more comprehensive examination of *DRD2* and antipsychotic drug response may be required.

Finally, it is increasingly recognized that any individual gene's effect on antipsychotic drug response will be modest in most populations. Therefore, examination of multiple genes and multiple single nucleotide polymorphisms (SNPs) in order to identify sensitive and specific "predictor profiles" is currently under way. A retrospective analysis of 19 candidate polymorphisms identified a grouping of six variants that provided 76.7% success in predicting clozapine response (66). Since these data have not yet been replicated (67), it will be critical to conduct prospective studies of this profile before these data can be considered to provide potential clinical use. Nevertheless, studies that begin to dissect the complex interactions between genetic loci and their effect on clinical phenotypes are likely to be necessary for a greater understanding of the genetic contribution to antipsychotic drug response.

## Pharmacogenetics of Antidepressant Drugs

Pharmacogenetic studies of antidepressants can be classified as addressing either pharmacokinetic or pharmacodynamic effects. Genetic variants affecting the metabolism of antidepressants may change pharmacokinetic factors, such as plasma drug concentration and half-life. Polymorphisms that affect the expression or function of receptors and signal transduction molecules in the brain

may alter pharmacodynamics. Both pharmacokinetic and pharmacodynamic changes can affect the efficacy and side effects of antidepressants.

Pharmacokinetic pharmacogenetic studies have focused on polymorphisms in liver cytochrome P450 isoenzymes that metabolize many antidepressant medications. The most intensively investigated gene is *CYP2D6*, which encodes debrisoquine hydroxylase. Many antidepressants, including tricyclics, selective serotonin reuptake inhibitors (SSRIs), venlafaxine, and others, are metabolized primarily by debrisoquine hydroxylase (68). The *CYP2D6* gene is highly polymorphic, with over 70 known variants (for a current classification, view the CYP P450 allele nomenclature at <http://www.imm.ki.se/CYP-alleles/>). Homozygosity for null alleles gives rise to the poor metabolizer phenotype for debrisoquine hydroxylase characterized by no enzyme activity. Null allele heterozygosity or homozygosity for intermediate metabolic alleles gives rise to an intermediate debrisoquine hydroxylase metabolic phenotype characterized by impaired—but not absent—enzyme activity (69–71). *CYP2D6* gene duplications give rise to the ultrametabolic activity of debrisoquine hydroxylase (72).

In highly controlled studies of drug metabolism performed with healthy volunteers in pharmacokinetics laboratories, the *CYP2D6* genotype has been shown to predict tricyclic and SSRI plasma concentrations (73–76). However, there are few studies performed in clinical settings. In a group of inpatient and outpatient geriatric patients suffering from major depression, the *CYP2D6* genotype predicted plasma nortriptyline levels at a steady state (77). The genotype-drug concentration correlation was evident, despite the fact that, on average, the patients took 9.8 concurrent medications in addition to nortriptyline. Hence, *CYP2D6* genotyping could be useful in identifying patients likely to experience high plasma levels when treated with nortriptyline. In a retrospective study of 100 patients treated with tricyclic antidepressants and other psychotropics in a state hospital, adverse events were associated with *CYP2D6* genotypes encoding debrisoquine hydroxylase with poor metabolism (78). These results suggest that *CYP2D6* genotypes could be useful in identifying patients likely to experience antidepressant side effects.

However, in a prospective, double-blind study of paroxetine treatment of 122 patients with geriatric major depression, Murphy et al. (79) found that the *CYP2D6* genotype had no effect on medication-related adverse events (determined by clinician ratings), treatment discontinuations due to adverse events, and measures of treatment efficacy, such as change in Hamilton depression scale ratings over time. A number of studies have shown a relationship between the *CYP2D6* genotype (and/or the debrisoquine hydroxylase phenotype) and paroxetine levels during short- or long-term dosing (74, 80–84), although another as yet unidentified cytochrome is also in-

**TABLE 2. Studies of the Effects of the Long/Short Variant in the Promoter Region of the Serotonin Transporter Gene (*SLC6A4*) on the Response to Selective Serotonin Reuptake Inhibitors in Patients With Major Depression**

Study	Year	Subjects	Medication	Design	Outcome Measures	Result
Smeraldi et al. (97)	1998	53 Italian patients with major depression	Fluvoxamine	Longitudinal cohort study of 6 weeks	Baseline and weekly scores on 21-item Hamilton Depression Rating Scale	Long/long genotype produced better response than long/short and short/short genotypes
Pollock et al. (98)	2000	51 geriatric patients with major depression	Paroxetine	Longitudinal cohort study of 12 weeks	Baseline and weekly scores on the 17-item Hamilton depression scale	Long/long genotype produced better response than short/long and short/short combined genotypes
Zanardi et al. (99)	2000	60 Italian patients with major depression	Paroxetine	Longitudinal cohort study of 4 weeks	Baseline and weekly scores on the 21-item Hamilton depression scale	Long/long genotype produced better response than long/short and short/short genotypes
Kim et al. (100)	2000	120 Korean patients with major depression	Paroxetine and fluoxetine	Longitudinal cohort study of 6 weeks	Baseline and 6-week scores on the 17-item Hamilton depression scale	Short/short genotype produced better response than long/short and long/long genotypes
Minov et al. (101)	2001	104 patients with major depression	A variety of antidepressants	Longitudinal cohort study of 4 weeks	Baseline and 4-week scores on the 17-item Hamilton depression scale and the Clinical Global Impression scale	No differences among genotypes in outcome
Yoshida et al. (102)	2002	66 Japanese patients	Fluvoxamine	Case-control study of 4 weeks	Response, defined as 50% reduction in score on the Montgomery-Åsberg Depression Rating Scale	Short allele was more frequent in responder group

volved (84, 85). The safety margin of SSRI agents such as paroxetine may be sufficient that impaired clearance in patients with intermediate or poor debrisoquine hydroxylase activity does not result in more adverse events. This may also be the case with other modern antidepressants that are metabolized largely by debrisoquine hydroxylase. Recommendations for dose adjustments based on *CYP2D6* genotype have been published (86). However, until more prospective pharmacogenetic data are available, these recommendations may be premature.

In addition to metabolizing many antidepressants, debrisoquine hydroxylase can also be inhibited by these medications (87, 88). Thus, an individual with an extensive or intermediate metabolic phenotype can be converted to a poor metabolic phenotype when treated with an SSRI such as paroxetine (89). This could result in toxicity if the patient were concurrently taking, for example, an antihypertensive or antiarrhythmic substrate with a narrow margin of safety. Case reports have been published of fatalities after an inhibitor of debrisoquine hydroxylase was coadministered with a debrisoquine hydroxylase substrate (90). However, despite extensive discussion in the literature on the consequences of debrisoquine hydroxylase inhibition (76, 91), clinical data are surprisingly sparse, aside from case reports. There may be considerable interindividual variation in the degree of debrisoquine hydroxylase inhibition by agents such as SSRIs (82, 83). Large-scale prospective studies are needed in which patients with known genotypes initiate

antidepressant therapy and the effects on serum levels and side effects of concurrent medications are monitored longitudinally. In the study of Murphy et al. (79), 26 elderly depressed subjects who were treated with paroxetine were also taking a medication classified as a debrisoquine hydroxylase substrate. These individuals had no greater severity of adverse events than other paroxetine-treated subjects, and there was no interaction between *CYP2D6* genotype, concurrent substrate medications, and adverse events. It is important to note that different results might have been obtained had the paroxetine-treated subjects concurrently taken tricyclic antidepressants, potentially toxic debrisoquine hydroxylase substrates that were not permitted in the study by Murphy et al. (79). However, in a 4-year postmarketing survey, few clinically significant interactions between paroxetine and other medications were detected (92). Further data are needed on this important topic, and clinicians should continue to use appropriate caution when multiple medications are prescribed.

The *CYP3A4* liver enzyme is also involved in the metabolism of many psychotropics, including some antidepressants (93–95). A number of allelic variants at the gene encoding *CYP3A4* have been identified (92). The effects of these variants on antidepressant metabolism in clinical settings have yet to be tested.

If and when clinically useful pharmacogenetic markers for antidepressant pharmacokinetics are identified, it remains to be seen how rapidly these will be integrated into

clinical practice. For example, although a strong case can be made for therapeutic monitoring of drug concentrations, there is limited clinical use of this currently available technique in making treatment decisions (96).

A number of studies have also reported on pharmacogenetic markers that affect antidepressant pharmacodynamics. In one of the first studies involving an SSRI, Smeraldi and colleagues (97) showed that the "short" form of a deletion/insertion polymorphism in the promoter region of the serotonin transporter gene (*SLC6A4*) impaired the efficacy of fluvoxamine in a group of 53 patients with major depression (Table 2). In some cell biology studies, the long and the short versions of the *SLC6A4* promoter polymorphism have been found to differentially affect the expression of the 5-HT transporter protein (103, 104). SSRIs are thought to act through inhibition of the 5-HT transporter, so a genetic variant that affects the expression of this protein could affect treatment response even though the protein structure is unchanged. The same effect of the *SLC6A4* long/short promoter polymorphism was reported by Pollock and colleagues (98) in a study of 51 geriatric patients with major depression who were treated with paroxetine. Subsequently, the Zanardi group confirmed this finding in a group of 60 paroxetine-treated depressed patients (99). Minov and colleagues (101) found no effect of the *SLC6A4* promoter polymorphism on response in 104 patients receiving a variety of antidepressant treatment regimens. This study is difficult to interpret because of heterogeneity in treatment regimen. However, Kim et al. (100) studied 120 Korean patients treated with fluoxetine or paroxetine and found that the short variant of the *SLC6A4* promoter was associated with a *better* treatment response. A similar result was obtained by Yoshida et al. (102) in a study with Japanese patients. One explanation for these results may be that the effect of the *SLC6A4* promoter is dependent on ethnic background. In the studies by Kim et al. (100) and Yoshida et al. (102), all patients were Asian, whereas in other studies, the patients have been predominantly non-Asian. In summary, the effect of the *SLC6A4* promoter variant on SSRI treatment is the most robust antidepressant pharmacogenetic finding to date. However, contrary results from a study of Asian patients indicate that replication of this finding in other populations is necessary.

A handful of other pharmacogenetic studies aimed at antidepressant pharmacodynamics have been performed. Tryptophan hydroxylase is important in the synthesis of serotonin. Serretti and colleagues (105, 106) studied the A218C polymorphism (located in a noncoding region of the tryptophan hydroxylase gene) and found that the A allele was associated with poor treatment response in 217 depressed patients treated with fluvoxamine and in 121 patients treated with paroxetine. Because the A218C variant does not affect the expression or structure of the tryptophan hydroxylase protein, its pharmacogenetic

effect may be related to another functional polymorphism in close proximity. The 5-HT<sub>2A</sub> receptor is located on post-synaptic neurons and may be important in antidepressant side effects (107). Cusin and coworkers (108) found that the C allele of the 5-HT<sub>2A</sub> T102C SNP associated with failure to respond to clozapine was also marginally associated with poor response to SSRIs.

Most pharmacogenetic studies aimed at antidepressant pharmacodynamics have hypothesized the effects on antidepressant efficacy due to functional variation in neurotransmitter receptors and transporter proteins located within the CNS. However, many antidepressant side effects, such as those associated with the vasculature or the gastrointestinal tract, could be mediated by interactions between antidepressants and peripheral neurons and synapses. Future pharmacogenetic studies should target receptors expressed by neurons of the autonomic nervous system and on end organs as predictors of antidepressant side effects and treatment discontinuation (109).

Although variations in genes encoding neurotransmitter receptors are a prime targets for pharmacogenetic studies, antidepressant effects on neurons involve a myriad of downstream effector molecules. Most aminergic receptors transduce signals through guanine nucleotide binding proteins (G proteins). Functional changes in these proteins could alter drug action. Zill and colleagues (110) examined the C825T functional polymorphism in the G-protein  $\beta 3$  subunit gene in 76 depressed patients receiving a variety of antidepressants. TT homozygotes showed greater treatment response than did other patients, although this finding is difficult to interpret because of treatment heterogeneity. Serretti et al. (111) found no association between D<sub>2</sub> and D<sub>4</sub> receptor polymorphisms and response to fluvoxamine or paroxetine. However, recent evidence shows that among SSRIs, only fluoxetine shows a significant effect on dopamine release (112).

## Pharmacogenetics of Mood Stabilizers

There are few pharmacogenetic studies of mood stabilizers. Selecting candidate genes for pharmacogenetic investigation is difficult because the exact mechanism of action of established mood stabilizers such as lithium remains uncertain (113, 114). Lithium inhibits the activity of a number of enzymes, including those involved in the phosphatidylinositol cycle and phospholipase C signal transduction, although it has not been demonstrated that this action is responsible for mood stabilization. Steen and co-workers (115) tested for associations between polymorphisms in the inositol polyphosphate 1-phosphatase gene and lithium response in two small groups of bipolar patients. In a group of 23 patients, an association between the inositol polyphosphate 1-phosphatase C973A variant was found, but in the second group of 54

patients, there was no association. Other enzymes related to inositol phosphate metabolism may be suitable targets for studies of lithium's action, as well as unrelated enzymes that are inhibited by lithium, such as glycogen synthase kinase-3 (114).

Serretti and Smeraldi and colleagues have extensively analyzed a group of approximately 125 bipolar and depressed patients treated with lithium prophylaxis. They found no association between lithium's efficacy and polymorphisms at the following loci: the D<sub>2</sub> receptor, the D<sub>3</sub> receptor, the D<sub>4</sub> receptor, the  $\gamma$ -aminobutyric acid (GABA) type A receptor  $\alpha$ -1 subunit, and the 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors (116–118). They found a less-than-significant association of the tryptophan hydroxylase A/A genotype with lithium response. In an expanded group of approximately 200 bipolar and depressed patients, they found no association between lithium efficacy and polymorphisms at the catechol O-methyltransferase, the monoamine oxidase (MAO)-A, and the G-protein  $\beta$ 3 subunit loci, but they did find a positive association with the *SLC6A4* promoter polymorphism (119), which they previously found predicted SSRI response (97). Finally, in a further expanded group of 443 bipolar and depressed patients, they found no association between 5-HT<sub>2A</sub> and MAO-A polymorphisms and lithium response (108). Interpretation of these results is difficult because of diagnostic heterogeneity in the patient groups. Furthermore, most of the candidate genes studied by these researchers were chosen for their putative association with mood disorders, rather than for a specific role in the mechanism of action of lithium.

Bipolar disorder is considered to have a high degree of heritability (120), and it has been suggested that bipolar patients with a strong family history may respond better to lithium (121, 122). Turecki and colleagues (123) performed a genome-wide scan to search for markers linked with lithium response in pedigrees with bipolar disease. Linkage was found between lithium response and markers on chromosomes 15 and 7. These interesting findings will require replication in other families.

## Adverse Effects Induced by Psychotropic Drugs

There are often adverse effects arising from the use of psychiatric medications (2), in part due to limited data on the mechanism of action of these drugs. It may be that the best opportunity to counteract adverse effects is the use of molecular genetics to dissect the principal systems in the body that process psychiatric medications, including the liver, the blood-brain barrier, and the target receptors in the brain.

### Antidepressant Drugs

Although the efficacy of antidepressant drugs has been studied relatively extensively with molecular genetic ap-

proaches, adverse events induced by antidepressant drugs, including weight gain, hypotension, sedation, anticholinergic effects, sleep disturbance, and antidepressant-induced mania, have received little attention. This list of adverse effects of antidepressants is by no means complete, but it points to the fact that a great deal more research is needed to fully exploit the power of molecular genetics to find predictors of the patients who will suffer the most from one or more of these adverse effects. An intriguing study has been published on the molecular genetic prediction of antidepressant-induced mania. Mundo et al. (124) screened more than 300 patients suffering from bipolar disorder in order to delineate a group of 29 patients who had been treated with serotonergic antidepressants and had developed a manic episode while taking that medication. As a control group, from the same large group of bipolar patients, 27 patients were selected who had received at least 10 weeks of treatment with serotonergic antidepressants and had not suffered a manic episode. In most cases, in this latter group, the patients had been treated several times with serotonergic antidepressants and had never suffered a manic episode. Mundo and colleagues examined the obvious candidate gene for SSRIs: *SLC6A4*. Two informative polymorphisms in this gene were examined: the promoter region polymorphism as well as a repeat polymorphism in the second intron. These two markers have a relatively low level of linkage disequilibrium between them, and thus, they reflect separate regions of genetic variation within the 5-HT transporter gene. The promoter polymorphism was shown to have significant association with antidepressant-induced mania, and the short allele was the variant associated with greater risk. In the previously discussed pharmacogenetic studies of antidepressant efficacy, the long allele was most often associated with antidepressant response. Thus, it is not immediately clear regarding the mechanism by which the short allele might increase the risk of manic episodes. The most important next step for the investigation of antidepressant-induced mania is to replicate these findings.

### Antipsychotic Drugs

The main untoward effects of antipsychotic medications include tardive dyskinesia, weight gain, sedation, extrapyramidal symptoms, long-QT syndrome, blood lipid abnormalities, and diabetes (2). Pharmacogenetic studies have been conducted primarily on the adverse effects of tardive dyskinesia and weight gain.

**Tardive dyskinesia.** Because overactivity of dopamine transmission in the basal ganglia and up-regulation of D<sub>2</sub>-like receptors have been postulated to play a role in the pathophysiology of tardive dyskinesia (125), the genes for these receptors were the highest priority for molecular genetic study. The D<sub>2</sub> receptor gene (*DRD2*) is the obvious candidate because it is the main site of action of typical antipsychotic medications (56, 57). Studies



TABLE 3. Studies of the Association Between the Dopamine D<sub>3</sub> Ser9Gly Polymorphism and Tardive Dyskinesia

Study	Year	Number of Subjects	Ethnicity or Nationality	Methods of Assessment of Tardive Dyskinesia	More Than One Assessment of Tardive Dyskinesia	Main Findings
Gaitonde et al. (128)	1996	84	Caucasian majority (N=81) <sup>a</sup>	Modified Rogers Scale	No	Not significant; rate of glycine allele not reported
Steen et al. (129)	1997	100	Caucasian	Abnormal Involuntary Movement Scale (AIMS)	Yes	Association of tardive dyskinesia with the glycine allele (p=0.03) and with the glycine/glycine genotype (p=0.01) in cross-sectional analyses
Inada et al. (130)	1997	105	Japanese	AIMS	Yes	Not significant
Basile et al. (131)	1999	112	85 Caucasian, 25 African American, two Asian	AIMS and Hillside Dyskinesia Scale	No	Higher AIMS total scores associated with glycine/glycine genotype (p=0.0005) compared to serine/glycine and serine/serine genotypes; significant also in separate analysis for Caucasians (p=0.02) and African Americans (p=0.009)
Segman et al. (132)	1999	116	Ashkenazi and non-Ashkenazi Jewish	AIMS	No	Tardive dyskinesia associated with serine/glycine genotype (p=0.0008); total AIMS score associated with genotypes carrying glycine alleles (p=0.02)
Lovlie et al. (133)	2000	71	European Caucasian	AIMS	No	Nonsignificant association between homozygosity for the glycine variant and tardive dyskinesia (p=0.20)
Rietschel et al. (134)	2000	157	German Caucasian	Tardive Dyskinesia Rating Scale	Yes	Not significant
Liao et al. (135)	2001	115	Taiwan Chinese	AIMS	No	Higher AIMS score with serine-glycine genotype compared to serine-serine and glycine-glycine genotypes (p=0.01)
Garcia-Barcelo et al. (136)	2001	131	Hong Kong Chinese	AIMS	No	Not significant

<sup>a</sup> There was one subject each of Afro-Caribbean, Middle Eastern, and Vietnamese origin.

of genetic variation in *DRD2* have yielded mostly negative results (126, 127). It should be noted that *DRD2* is large relative to the other dopamine receptor genes, and thus, it is difficult for any one investigation to examine all of the polymorphic sites distributed across the more than 250-kb length of the gene. Most studies have focused on the common polymorphisms, including the TaqIA and B sites, as well as the serine to cysteine variant at amino acid position 311. Although further work with *DRD2* may be helpful, we can summarize that the dopamine receptor genes other than the D<sub>3</sub> gene have not shown consistent results in the prediction of tardive dyskinesia.

In the case of the D<sub>3</sub> receptor gene (*DRD3*), several groups have independently shown that the variant consisting of a serine-to-glycine amino acid change at position 9 in the protein is associated with the risk of tardive dyskinesia (Table 3). Moreover, a recent meta-analysis revealed a significant role for the glycine allele in the risk for tardive dyskinesia (137). Parallel support for the role of this particular D<sub>3</sub> polymorphism is provided by data suggesting that the glycine allele has significantly higher affinity for dopamine than the serine allele in transfected cell lines (138). Additional intriguing support for the role of *DRD3* in tardive dyskinesia comes from a study of positron emission tomography (PET) imaging of patients who were genotyped for the Ser9Gly variant (139). These patients were scanned with PET before and after treatment with haloperidol. After the haloperidol

treatment, the patients with the glycine/glycine genotype had greater fluorodeoxyglucose metabolism in the anterior striatum than the haloperidol-treated patients with one or two copies of the serine allele. The regional localization of the differential activity according to genotype fits well with the role of the striatum in normal motor behavior as well as possibly the abnormal motor movements seen in tardive dyskinesia. In this PET study, the patients who exhibited increased brain activity in the striatum displayed the most severe tardive dyskinesia symptoms.

In addition to the D<sub>3</sub> pharmacodynamic factor, pharmacokinetic factors have also been examined. *CYP2D6* is a high-affinity but low-capacity metabolic enzyme for haloperidol, whereas *CYP1A2* has a higher capacity to metabolize haloperidol in the liver. Thus, with long-term treatment, *CYP1A2* may be the most important determining factor of steady-state haloperidol levels. In a study of these genes in tardive dyskinesia (140), patients who had been rated with the Abnormal Involuntary Movement Scale (AIMS) for the symptoms of tardive dyskinesia were genotyped for the four common alleles at *CYP2D6*; no significant association was found. However, when the polymorphism in *CYP1A2* at position 784 in the first intron was genotyped, patients with the C allele had higher AIMS ratings. However, this finding with *CYP1A2* was not replicated in a German sample (141).

Basile et al. (140) also examined the combination of *DRD3* with *CYP1A2* to determine whether these two

genes interact to predict the risk for tardive dyskinesia. Although methods to examine gene-gene interactions are not well defined, a relatively straightforward additive interaction between *DRD3* and *CYP1A2* in the risk for tardive dyskinesia was identified. In other words, the patients who had both the glycine/glycine genotype at *DRD3* and the C/C genotype at *CYP1A2* had the most severe tardive dyskinesia. The patients with only one or the other risk genotype had intermediate levels of symptoms. The overall model of prediction of tardive dyskinesia severity, which includes age, sex, ethnicity, and smoking status, in addition to the *DRD3* and *CYP1A2* gene polymorphisms, accounted for greater than 50% of the variance in the risk for tardive dyskinesia. This type of predictive algorithm, which incorporates genetic and environmental as well as demographic factors, appears promising as a method for predicting the risk for medication side effects.

**Weight gain.** Weight gain is a serious problem in patients taking antipsychotic medication for extended periods of time (142–144) and can lead to treatment noncompliance, psychological morbidity, and medical problems, including type II diabetes, hypertension, cardiovascular disease, and respiratory difficulties. Not all treated patients gain weight, however, leading to the notion that the susceptibility may be due to genetic factors. Similar to the tardive dyskinesia algorithm described in the previous section, the tendency to gain weight is likely due to a combination of factors, including CNS, hepatic, peripheral tissue (e.g., adipose and muscle), and environmental. Most genetic studies of antipsychotic-induced weight gain thus far have examined genes that code for CNS proteins, primarily receptors.

The selection of candidate genes to be studied in antipsychotic-induced weight gain has been based primarily on the neurobiologic knowledge of satiety mechanisms. It is quite possible that the medications also operate on peripheral mechanisms such as metabolic rate and muscle tone to decrease calorie burning and/or may directly increase lipogenesis, for example. These peripheral mechanisms have only begun to be examined by using molecular genetic strategies (145). Most studies of weight gain have focused on the serotonergic mechanisms that are thought to be involved in satiety. Satiety signals converge on the hypothalamus from several areas of the body, including taste and olfaction receptors, the stomach, the gut, and the liver. A survey of the literature of the regulation of feeding behavior points to a major role for serotonin, with both animal and human investigations showing, in general, that increasing serotonin results in decreased feeding, with the reverse also true. Pharmacologic agonists of the 5-HT<sub>1</sub> family of receptors, primarily 1A, cause increased feeding, while agonists of 5-HT<sub>2C</sub> lead to decreased feeding (146). It follows that 5-HT<sub>2C</sub> antagonists will increase food intake (147). Of interest, clozapine is a potent 5-HT<sub>2C</sub> antagonist as well as a 5-HT<sub>1A</sub> agonist. 5-HT<sub>2C</sub> and 5-HT<sub>1A</sub> receptor

genes are thus prime targets for the molecular genetic investigation of clozapine-induced weight gain. The histamine system is another important area, since some data suggest an association between weight gain and histamine H<sub>1</sub> antagonism (148).

Recently, Reynolds et al. (149) reported an association between the –759 T/C SNP in the upstream putative promoter region of the 5-HT<sub>2C</sub> receptor gene and antipsychotic-induced weight gain. Evidence has been presented suggesting that this site alters 5-HT<sub>2C</sub> expression (150). Reynolds and colleagues studied this genetic marker in a group of 110 first-episode Asian patients who had been treated with risperidone or chlorpromazine. They found that the C allele significantly predicted weight gain. Basile et al. (15) were not able to replicate this finding in a group of 80 Caucasian subjects who were treated with clozapine; however, these patients were not treatment-naïve before taking clozapine. Clearly, this is an interesting beginning, and more work is necessary to confirm the role of the 5-HT<sub>2C</sub> gene in antipsychotic-induced weight gain.

## Next Steps: From Pharmacogenetics to Pharmacogenomics

To date, psychiatric pharmacogenetic studies have been primarily restricted to relatively small data sets derived from ethnically heterogeneous populations, with genotyping of a single SNP or limited numbers of SNPs. Recent advances in genomic information, novel statistical genetic methods, and marked improvements in genotyping technologies now provide the means of considering far more comprehensive and powerful pharmacogenetic studies.

### *The Human Genome Sequence and SNP identification*

The completion of the human genome sequence by the federally funded Human Genome Project (12) and a private biotechnology firm (151) is a landmark event in the history of science. Although complete sequence data are not yet available, the genome sequence that is complete is already providing the reference sequence for large-scale efforts aiming to identify genetic variation among individuals. In particular, there has been a focus on the identification of hundreds of thousands of SNPs within the genome.

Many of the SNPs that are being identified may be useful in pharmacogenetic studies. Cargill et al. (152) scanned the coding region of 106 genes, many with potential relevance to the CNS, and identified an average of 3.7 coding region SNPs per gene, of which nearly 50% were nonsynonymous; 41% of the nonsynonymous coding region SNPs had a minor allele frequency of greater than 5%. Nonsynonymous coding region SNPs may have a higher a priori probability of altering function and be the most powerful

in pharmacogenetic studies. Therefore, these massive SNP identification efforts, coupled with data demonstrating that a significant subset of them may be useful in pharmacogenetic studies, suggest that a large number of novel SNP targets will soon be available for the next generation of pharmacogenetic studies.

### **Genomic Control Techniques**

Most previous pharmacogenetic studies have used the case-control design in which, for example, unrelated subjects who respond to medication are compared to nonresponsive patients (13). Although powerful, this approach may be vulnerable to false positive results secondary to undetected ethnic stratification between study groups. Family-based approaches, in which DNA is collected from the parents or siblings of probands, are an alternative strategy that is not susceptible to stratification confounds (153) but are less feasible and less powerful than the traditional case-control method for pharmacogenetic studies. First, many of the patients participating in clinical trials of psychotropic drugs are already in their mid to late adult years; therefore, collecting DNA from family members may be difficult because of a lack of availability. Second, it has been suggested that probands selected from trios or other family-based designs may be subtly different from the general population of cases, thus reducing the generalizability of findings made with family-based designs (154). Finally, the case-control design has greater statistical power than family-based approaches (155). These data suggest that the case-control design would be optimal for pharmacogenetic studies; however, the potential for undetected ethnic stratification has tempered enthusiasm for this design (156).

Fortunately, “genomic control” methods are under development to help account for ethnic stratification in case-control studies. Genomic control techniques are based upon the idea that study groups (patients versus control subjects, responders versus nonresponders, etc.) can be tested for the presence of stratification by assessing the allele frequency of markers that are unlinked to the phenotype of interest in each group. Pritchard and Rosenberg (157) determined that, in theory, no more than 40 unlinked markers, and perhaps less, are necessary to achieve a 95% probability of detecting stratification in study groups of over 200 subjects. If stratification is detected, subjects can be removed until stratification is not present or correction factors can be introduced that account for the level of stratification between groups (158). Both approaches reduce study power but minimize the risk that the case-control design results in a false positive or negative result. Several studies have appeared recently that used genomic controls to test for population stratification. Xu and colleagues (159) studied the relationship between SNP markers in the delta opioid receptor in Chinese subjects in a case-control design. As genomic control markers, they chose well-characterized SNPs in four

additional genes. The SNPs were chosen because they showed variation in allele frequencies among Asian ethnic subgroups, as well as variation between Asians and Caucasians. Small and co-workers (160) examined adrenergic receptor polymorphisms and the risk for hypertension in a case-control design. Nine highly polymorphic short tandem-repeat loci were used to control for stratification, although it is not stated whether these markers show variation in allele frequencies among ethnic subpopulations.

Important issues remain regarding the use of genomic controls. First, should markers within genes be chosen, or should markers distant from any known gene be used? Markers within genes are likely to be better characterized, but in theory, they could be functionally associated with the outcome measure. The optimal degree of heterozygosity for genomic controls and variation in genomic control allele frequencies among ethnic groups and subgroups is also unclear. Finally, there are no standards as yet to either the number of control loci that should be tested or the number of positive results indicative of stratification. These issues will require further work.

### **Haplotypes**

Pharmacogenetic studies that include hundreds—if not thousands—of SNPs in multiple candidate genes must address the issue of multiple testing. One potential method for data reduction is to organize SNPs within a gene, or a region of the genome, into haplotypes (or specific chromosomal combinations of individual alleles of each SNP across a region). Haplotypes provide more comprehensive examinations of single genes and may provide increased power to detect pharmacogenetic associations if rare alleles are contributing to phenotypic differences (161). In many genes studied to date, there are a limited number of common haplotypes (162), and this may reduce the extent of genotyping necessary to analyze a gene and also reduce the number of SNP comparisons that need to be conducted.

Progress on the identification of haplotypes within the human genome is under way. The National Institutes of Health is supporting a haplotype map-sequencing effort that will provide publicly available haplotype information across the genome (163). Moreover, private biotechnology firms have assessed large numbers of genes for haplotype structure (161), with many of these genes coding for CNS proteins that are thought to be involved in psychotropic drug response, and these data may be available for pharmacogenetic studies.

### **Clinical Applications**

Although research in pharmacogenetics is growing rapidly, with intriguing preliminary data across a number of phenotypes, a major goal of psychiatric pharmacogenetics—clinical applicability—has not yet been fully realized.

For this to be accomplished, more replicated data will be required, and the amount of variance explained by any single gene or set of genes will need to be significantly greater than yet observed. With this, pretreatment testing of patients may be possible, and more specific targets for new drug development may be achieved. Since the feasibility of genotyping is high, and the costs continue to decrease, it does seem likely that within the next few years pharmacogenetic data will begin to play an important role in clinical decision making about individual patient treatment.

## Conclusions

Pharmacogenetic studies of psychotropic drug response have begun to identify several genes that may be implicated in diverse phenotypes, such as antipsychotic drug efficacy, antidepressant drug response, and development of drug-induced adverse events. These genes require further study, as well as careful functional genomics working to identify the specific molecular events that may produce clinical effects in order to contribute to future drug development strategies.

More dramatic progress, however, may be made with the next generation of molecular genetic studies of psychotropic drug response. It is now possible to collect DNA from patients enrolled in large-scale clinical trials of thousands of patients, select SNPs that occur in many of the genes expressed in the CNS, and compare SNP allele frequencies in large case-control designs. Moreover, as methods are developed to account for undetected ethnic stratification between groups, the amount of stratification (if any) can be quantified between groups and accounted for in the analyses. Improved bioinformatics tools and statistical methods will be required to deal with the complexity of data that will be generated, but it seems likely that such methods may become available as the level of interest in genetic approaches to complex diseases and to phenotypes such as drug response increases. With these developments in place, the first generation of pharmacogenetic studies that used SNPs in relatively limited numbers of candidate genes may be replaced by studies in which the complete genome is assessed, inaugurating the era of pharmacogenomic studies of psychotropic drug response.

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## APPENDIX 1. Glossary of Pharmacogenetics

Term	Definition
Allele	One of several alternative forms of a gene at a given locus
Coding	Occurs in exons (coding regions) of genes
Conservative	Alters the structure of the protein but not its function
Haplotype	A combination of alleles at two or more closely linked gene loci on the same chromosome, e.g., in the human leukocyte antigen system
Heritability	The ratio of additive genetic variance. Phenotypic variance is the result of the interaction of genetic and nongenetic factors in a population
Linkage disequilibrium	Statistical association of two alleles at a rate greater than would be predicted by chance, owing to the fact that the two alleles are close enough to each other not to undergo recombination
Noncoding	Occurs in introns (noncoding regions) of genes
Pharmacogenetics	The study of genetically determined interindividual differences in response to pharmacological agents
Pharmacodynamic	Genetically based differences in the proteins at which a drug acts
Pharmacogenomics	The application of genome-wide approaches to the study of interindividual differences in response to pharmacological agents
Pharmacokinetic	Genetically based differences that influence the bioavailability of a drug
Polymorphism	Genetic variation that occurs with a frequency of 1% or more in the population
Promoter	The region of a gene that controls the initiation of protein production
Synonymous	Single nucleotide polymorphisms in coding regions that do not influence the structure of the protein

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